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Attorney Docket No.: 20784/6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Hans-Ulrich Demuth, Torsten Hoffmann, Dagmar Schlenzig and
Ulrich Heiser

EXAMINER: Unknown GROUP: 1646

SERIAL NO.: 10/082,001

FILED: February 22, 2002

FOR: *New Effectors of Dipeptidyl Peptidase IV for Topical Use*

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: BOX MISSING PARTS, Assistant Commissioner of Patents, Washington, DC 20231 on:

By:

Deborah Celeste
Deborah Celeste

April 30, 2002
Date

BOX MISSING PARTS
Assistant Commissioner for Patents
Washington, DC 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS
OF APPLICATION OF NON-PROVISIONAL APPLICATION

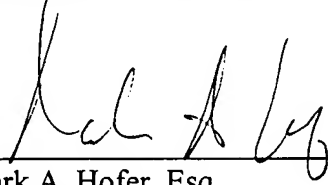
Sir:

In response to the Notice to File Missing Parts of Non-Provisional Application (a copy of which is enclosed), dated March 21, 2002, Applicant encloses a Small Entity Assertion in compliance with 37 C.F.R. 1.27, an executed Declaration in compliance with 37 C.F.R. 1.63, a Transmittal Letter with claims as amended and submitted electronically on April 17, 2002 (a copy of the acknowledgement receipt is enclosed) in compliance with 37 C.F.R. 1.215(c) along with an Amendment Fee Transmittal. A Request for Correction of Filing Receipt and a copy of the filing receipt with changes noted in red is enclosed. Also enclosed is a check in the amount of \$545.00 to cover the filing fee of \$370.00 in compliance with 37 C.F.R. 1.27, a late filing fee

or declaration surcharge in the amount of \$130.00 in compliance with 37 C.F.R. 1.16(l) and \$45 to cover the additional claim fee for 5 claims over 20.

If the submitted check is insufficient, please charge any additional required fee to Deposit Account No. 50-0369.

Respectfully submitted,



Mark A. Hofer, Esq.
Registration No. 30,068
Customer No. 21710
Attorney for Applicant
BROWN RUDNICK BERLACK ISRAELS, LLP
One Financial Center
Boston, MA 02111
(617) 856-8327

Dated: 4/29/02



UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/082,001	02/22/2002	Adrian Paul Brown	20784/6

CONFIRMATION NO. 1724

FORMALITIES LETTER



OC000000007683083

 Brown Rudnick Berlack Israels, L.L.P.
 One Financial Center
 Boston, MA 02111

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Date Mailed: 03/21/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$442.
 - \$162 for 9 total claims over 20.
 - \$280 for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1312.

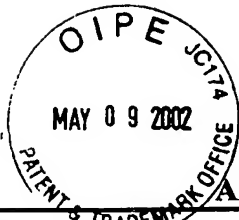
A copy of this notice MUST be returned with the reply.
 Customer Service Center
 Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

 05/13/2002 YPOLITE1 00000106 10082001
 01 FC:201 370.00 DP
 02 FC:203 451.00 DP
 03 FC:205 651.00 DP

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 05/13/2002 YPOLITE1 0000116979 445

CHECK Refund Total: \$65.00



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APPLICATION FOR PATENT (Small Entity Assertion)

Express Mail No:

Docket No. 20784/6

INVENTOR(S): Hans-Ulrich Demuth, Torsten Hoffmann, Dagmar Schlenzig and
Ulrich Heiser

TITLE OF THE INVENTION:

NEW EFFECTORS OF DIPEPTIDYL PEPTIDASE IV FOR TOPICAL USE

FILING DATE: February 22, 2002

Serial Number (if known): 10/082,001

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Assistant Commissioner for Patents
Washington, DC 20231-0001

SMALL ENTITY ASSERTION

Sir:

Applicant claims small entity status under 37 CFR 1.27 for the above-identified patent application.

Respectfully Submitted,

Date:

4/29/02

Mark A. Hofer, Reg. No. 30,068
Customer No 21710
Intellectual Property Department
Brown Rudnick Berlack Israels LLP
One Financial Center
Boston, MA 02111
Tel: 617-856-8327
Fax: 617-856-8201

Receipt

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**APPLICANT:** Hans-Ulrich Demuth, Torsten Hoffmann, Dagmar Schlenzig and Ulrich Heiser**EXAMINER:** Unknown**GROUP ART UNIT:** 1646**SERIAL NO.:** 10/082,001**FILED:** February 15, 2002**FOR:** *New Effectors of Dipeptidyl Peptidase IV for Topical Use*

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CERTIFICATE OF MAILING

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By:

Deborah Celeste

Date

April 30, 2002

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Assistant Commissioner for Patents

Washington D.C. 20231

REQUEST FOR CORRECTION OF FILING RECEIPT

Sir:

An error was noted on the official filing receipt for the above-referenced Patent

Application as follows:

Applicant(s):

Adrian Paul Brown, London, United Kingdom should be:

Hans-Ulrich Demuth, Halle/Saale, Germany

Torsten Hoffmann, Halle/Saale, Germany

Dagmar Schlenzig, Halle/Saale, Germany,

Ulrich Heiser, Halle/Saale, Germany

A copy of the filing receipt with corrections noted in red is enclosed. As this error is a USPTO error, no fee is due at this time.

Respectfully submitted,

Mark A. Hofer

Reg. No. 30,068

Customer No. 21710

Brown Rudnick Berlack Israels, LLP

One Financial Center

Boston, MA 02111

Tel: 617-856-8327

Fax: 617-856-8201



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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/082,001	02/22/2002	1646	0.00	20784/6	1	27	2

CONFIRMATION NO. 1724

Brown Rudnick Berlack Israels, L.L.P.
One Financial Center
Boston, MA 02111

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FILING RECEIPT



OC000000007683082

Date Mailed: 03/21/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Adrian Paul Brown, London, UNITED KINGDOM;

Hans-Ulrich Demuth, Halle/Saale, Germany
Torsten Hoffmann, Halle/Saale, Germany
Dagmar Schlenzig, Halle/Saale, Germany
Ulrich Heiser, Halle/Saale, Germany

Domestic Priority data as claimed by applicant

Foreign Applications

PCT/EP00/08118 08/20/2000
GERMANY DE 199 40 130.6 08/24/1999

If Required, Foreign Filing License Granted 03/19/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

New effectors of dipeptidyl peptidase IV for topical use

Preliminary Class

514

AMENDMENT TRANSMITTAL LETTER (Small Entity)Applicant(s): **Hans-Ulrich Demuth, et al.**

Docket No.

20784/6

Serial No.

10/082,001

Filing Date

February 22, 2002

Examiner

Unkown

Group Art Unit

1646Invention: **NEW EFFECTORS OF DIPEPTIDYL PEPTIDASE IV FOR TOPICAL USE****COPY OF PAPERS
ORIGINALLY FILED****MAY 09 2002****PATENT & TRADEMARK OFFICE****TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

Transmitted herewith is an amendment in the above-identified application.

- ☐ Small Entity status of this application has been established under 37 CFR 1.27 by a verified statement previously submitted.
- ☒ A verified statement to establish Small Entity status under 37 FR 1.27 is enclosed.

The fee has been calculated and is transmitted as shown below.

CLAIMS AS AMENDED

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	25 -	20 =	5 x	\$9.00	\$45.00
INDEP. CLAIMS	2 -	3 =	0 x	\$42.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$45.00

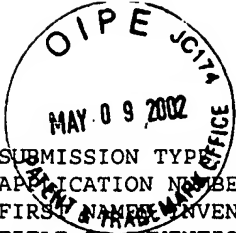
- ☐ No additional fee is required for amendment.
- ☐ Please charge Deposit Account No. _____ in the amount of _____
A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of **\$545.00** to cover filing fee, late filing fee/dec surcharge and
- ☐ The Commissioner is hereby authorized to charge payment of the following fees associated with this **5** claims
communication or credit any overpayment to Deposit Account No. _____ over **20**.
A duplicate copy of this sheet is enclosed.
- ☐ Any additional filing fees required under 37 C.F.R. 1.16.
- ☐ Any patent application processing fees under 37 CFR 1.17.

Dated: **4/22/02****Mark A. Hofer, Esq.****Reg. No. 30,068****Brown Rudnick Berlack Israels, LLP****Customer No. 21710****One Financial Center****Boston, MA 02111****617-856-8327***Signature*

I certify that this document and fee is being deposited on **April 30, 2002** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Signature of Person Mailing Correspondence**Signature of Person Mailing Correspondence***Deborah Celeste***Typed or Printed Name of Person Mailing Correspondence*

CC:



Acknowledgment Receipt:

SUBMISSION TYPE: Pre-Grant Publication
APPLICATION NUMBER: 10082001
FIRST NAME INVENTOR: Hans-Ulrich Demuth
TITLE OF INVENTION: NEW EFFECTORS OF DIPEPTIDYL PEPTIDASE IV FOR
TOPICAL USE
ATTORNEY DOCKET NUMBER: 20784/6

FILE LISTING:

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specification	207846A.xml 58795 Bytes
specification	u-specif.dtd 106427 Bytes
specification	specif.xml 29904 Bytes
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